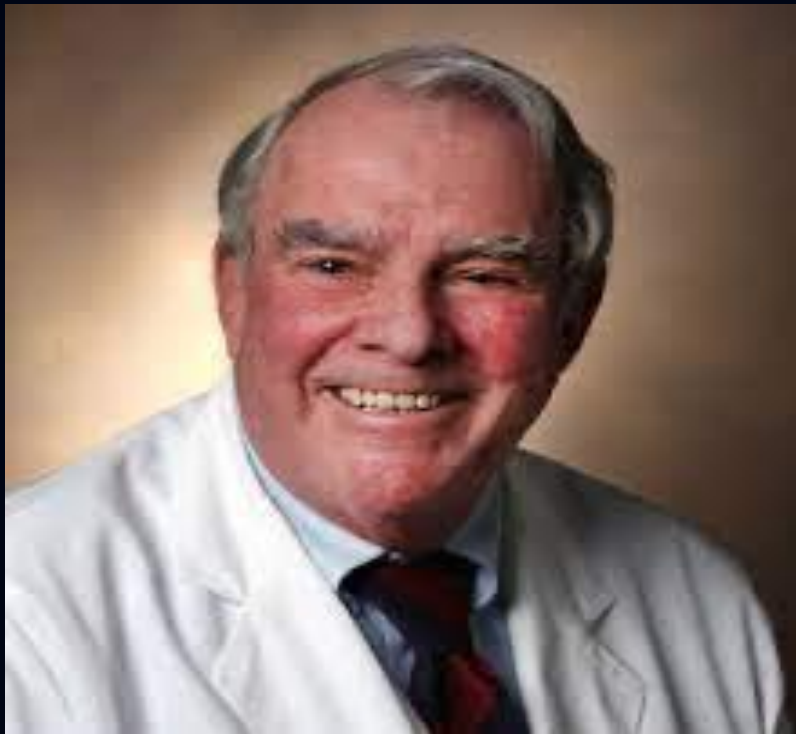


“If I have seen farther it is by standing on  
the shoulders of giants”

- Isaac Newton



**Pleural Effusions**  
**Manny Mathew, MD FCCP**  
**Clinical Associate Professor Univ Of Az**

**Dr Richard  
Light**

**Dr David  
Baratz**

**Dr Uppala**

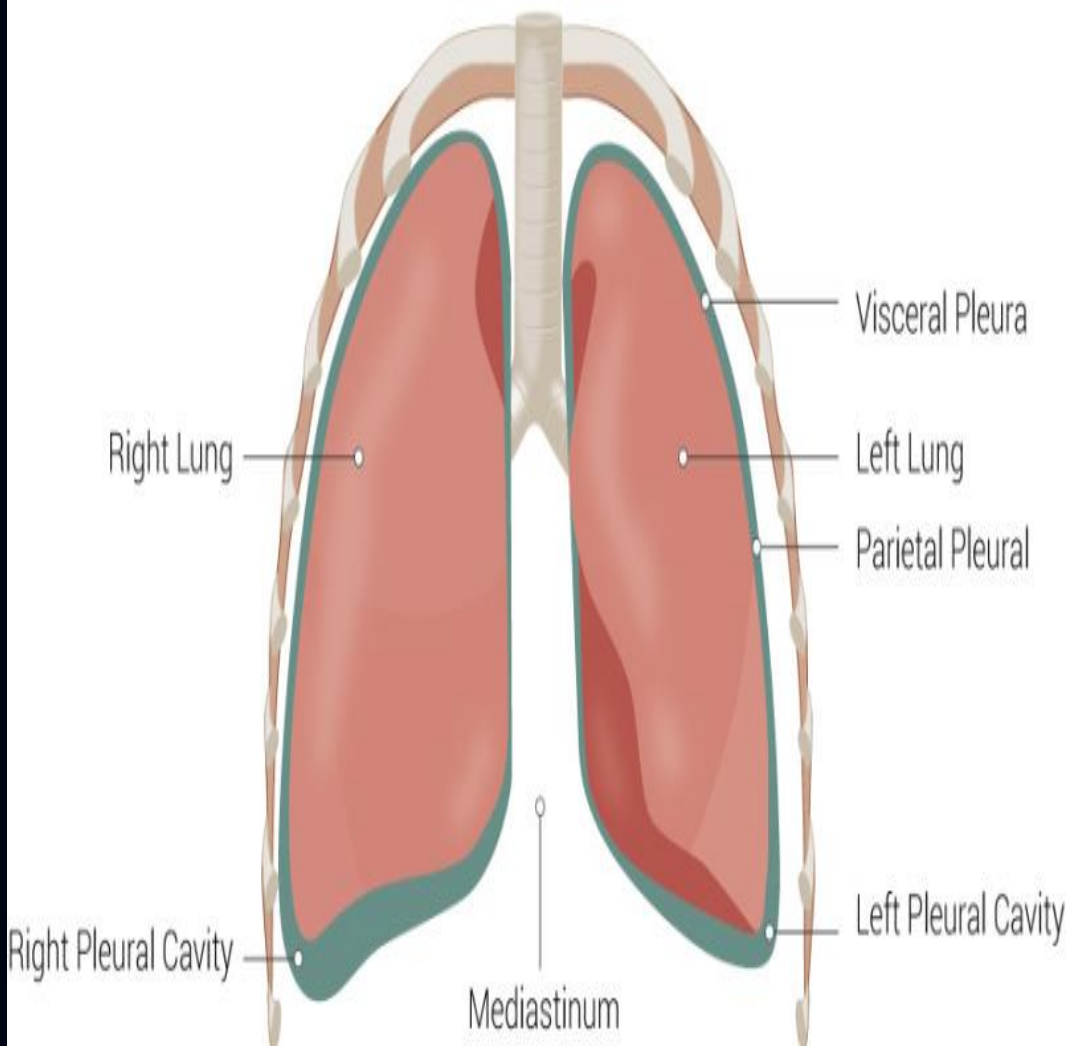
**Dr Rayan**

**Dr  
Mathew**

# Objectives

- Pleural Anatomy and Pathophysiology
- Fluid Analysis Exudative vs Transudative
  - Lights
  - Heffner
- Causes of Exudative vs Transudative
- Thoracocentesis and Fluid Analysis
- Parapneumonic Effusions
- Special Cases

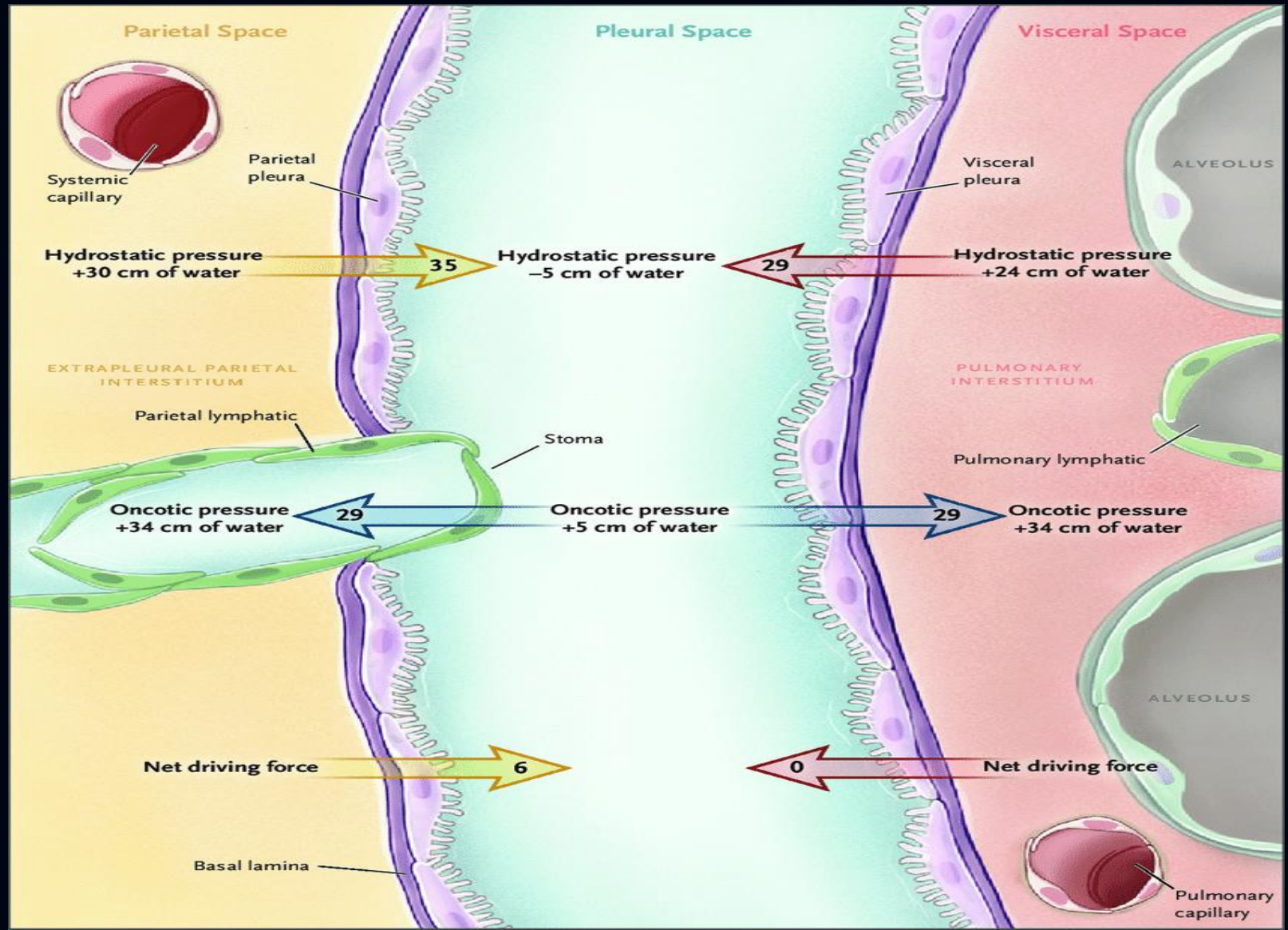
# Anatomy



- Pleural Space 5-10cc fluid
- Extends to 10<sup>th</sup> ICS – Posteriorly
- Cardiac, Diaphragmatic recess

**Parietal**  
**Hydrostatic > Oncotic**

**Visceral**  
**Oncotic > Hydrostatic**



# Physiology

## Increased Pleural Fluid

1. Incr Capillary Hydrostatic Pressure (CHF)
2. increased negative pleural space pressure (Atelectasis)
3. Decr Plasma Oncotic Pressure (Hypoproteinemia, Nephrotic)
4. Obstruction of lymphatic drainage (Cancer)
5. Increased Pleural Permeability (Inflammation, Infection, Malignant)
6. Anatomic Defects (hepatic hydrothorax)

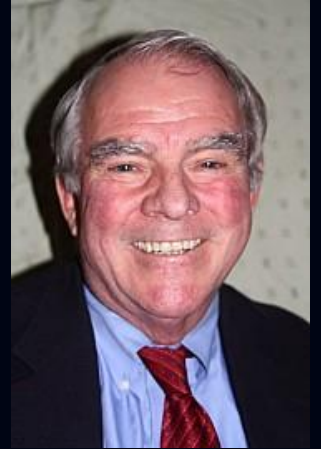
- Think of Pleural Membrane like a filter
- Disorders b/w H & O press – Water  
*Transudative Effusion*
- Disorders within the Pleura - Larger  
Molecules Pass through  
*Exudative Effusions*

# Objectives

- Pleural Anatomy and Pathophysiology
- *Exudative vs Transudative*
  - *Lights*
  - *Heffner*
- Causes of Exudative vs Transudative
- Thoracocentesis and Fluid Analysis
- Parapneumonic Effusions
- Special Cases



# Lights Criteria



- Discovered between 1968-1971 while he was an Intern at Johns Hopkins

- Published in 1972

Light RW, MacGregor MI, Luchsinger PC, Ball WC Jr: “Pleural effusions: the diagnostic separation of transudates and exudates”. *Ann Intern Med* 1972; 77:507-14.

# Lights Criteria

- Pleural Fluid Prot/Serum Protein  
> 0.5 = EXUDATIVE
- Pleural LDH/Serum LDH  
> 0.6 = EXUDATIVE
- Pleural LDH > 2/3rds upper limit of Normal

*\*ONLY NEED 1 OF ABOVE TO BE EXUDATIVE*

Dr John Heffner



- Assoc Chief of Medicine  
Univ Of Az 1990-1998
- Exudative Effusion  
evaluation without serum  
labs
- Heffner JE, Brown LK, Barbieri CA.  
Diagnostic value of tests that  
discriminate between exudative  
and transudative pleural effusions.  
Primary Study Investigators. Chest  
1997; 111:970.

# Heffner Criteria

Heffner JE, Brown LK, Barbieri CA. Diagnostic value of tests that discriminate between exudative and transudative pleural effusions. Primary Study Investigators. Chest 1997; 111:970.

## *Three-test rule*

- Pleural fluid protein greater than 2.9 g/dL (29 g/L)
- Pleural fluid cholesterol greater than cholesterol 45 mg/dL (1.165 mmol/L)
- Pleural fluid LDH greater than 0.45 times the upper limit of the laboratory's normal serum LDH

## *Two-test rule*

- Pleural fluid cholesterol greater than 45 mg/dL
- Pleural fluid LDH greater than 0.45 times the upper limit of the laboratory's normal serum LDH



- Lights Criteria

- More specific for Transudative effusion
- 99% specific if all 3 criteria negative and not on diuretic



- Heffner Criteria

- More specific for exudative effusion
- 99% specific for Exudative with "2 Test Rule"

# Roth Criteria

Chest

. 1990 Sep;98(3):546-9.

doi: 10.1378/chest.98.3.546.

The serum-effusion albumin gradient in the evaluation of pleural effusions

- Useful in distinguishing an **exudative vs pseudoexudate** effusion **CHF + Diuretics**
- With Diuresis water is drawn out faster than protein and in CHF relief of hepatic congestion decreases serum LDH

# Roth Criteria

- *Serum albumin – Pleural Albumin*

*> 1.2 = Transudative*

*< 1.2 = Exudative*

**\*\* Do this in addition to Lights or Heffner  
Criteria \*\***

# Objectives

- Pleural Anatomy and Pathophysiology
- Exudative vs Transudative
  - Lights
  - Heffner
- ***Causes of Exudative vs Transudative***
- Thoracocentesis and Fluid Analysis
- Parapneumonic Effusions
- Special Case



# Case

- 68 y/o F with PMH of CAD presents with SOB, and Leg swelling
- CXR is shown



# All of the following are true except

- A. this is the most common cause of pleural effusion
- B. Effusions can be transudative or exudative
- C. PAWP is often normal
- D. Effusions are often Bilateral
- E. 'House of Payne' went from being a 90s Hip Hop Group to a Stroke Unit at BUMC

# Causes of Pleural Effusions

## Transudative

1. CHF
2. Cirrhosis
3. PE

## Exudative

1. PNA
2. Malignancy
3. PE

# CHF EFFUSION

- Most Common cause of transudative effusion
- Bilat > R > Left
- Tx the CHF not the effusion
- Does not require pleural fluid analysis for diagnosis (clinical diagnosis)
- If you Tap.....

# CHF effusion Studies

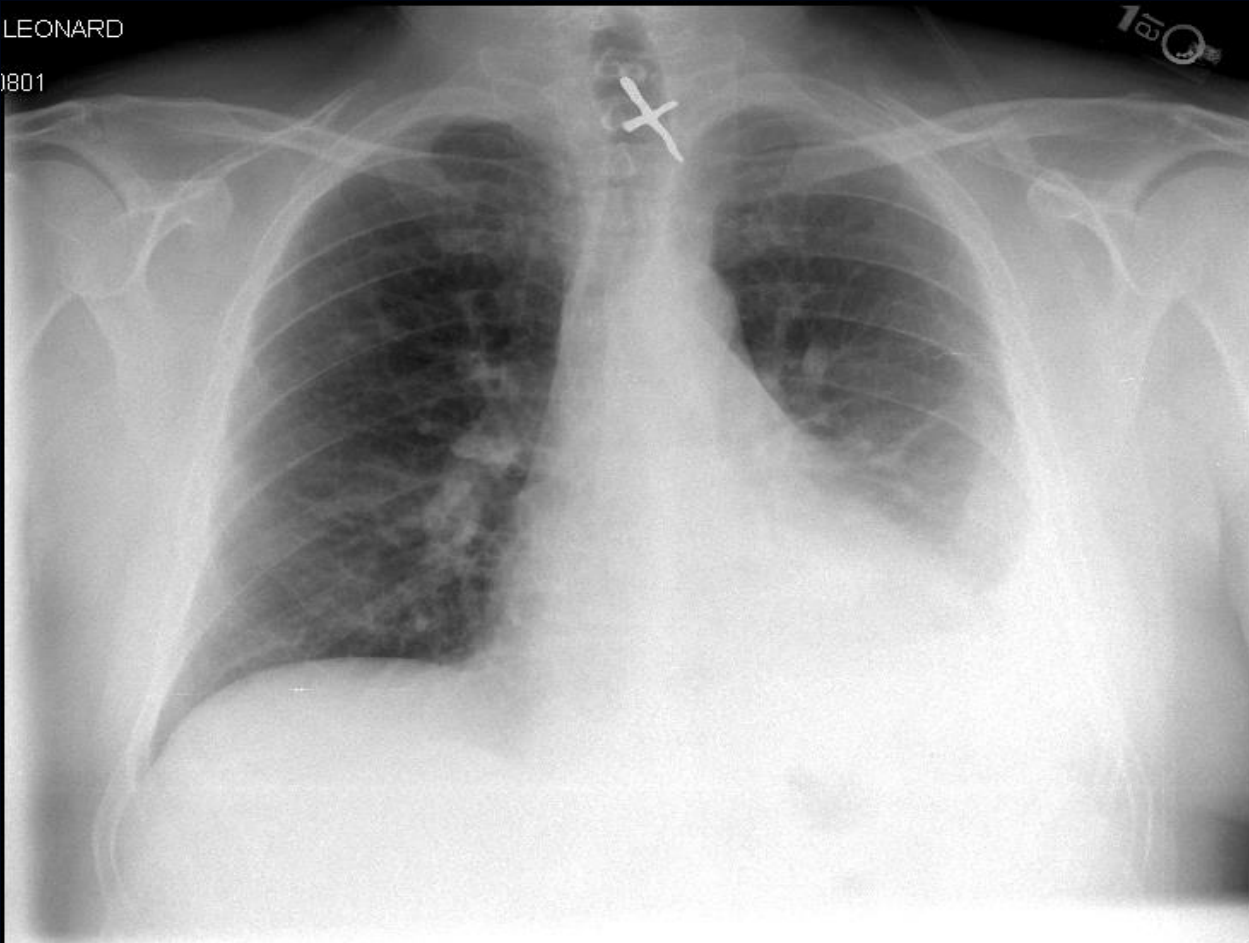
- Serum Total Protein – Pleural Total Protein > 3.1g = Transudative
- Serum Albumin – Pleural Albumin > 1.2 = transudative
- Pleural N-Terminal BNP > 1500 = 93% specific for CHF

# Case

- 54 y/o M presents CC of Fever, SOB, Cough (brown sputum) and CP x 3 days
  - O/E: T 101.5 , O<sub>2</sub> Sat 90% RA  
Dullness to percussion over Left posterior chest  
Decreased breath sounds over left lower chest
- CXR: shown

LEONARD

1801





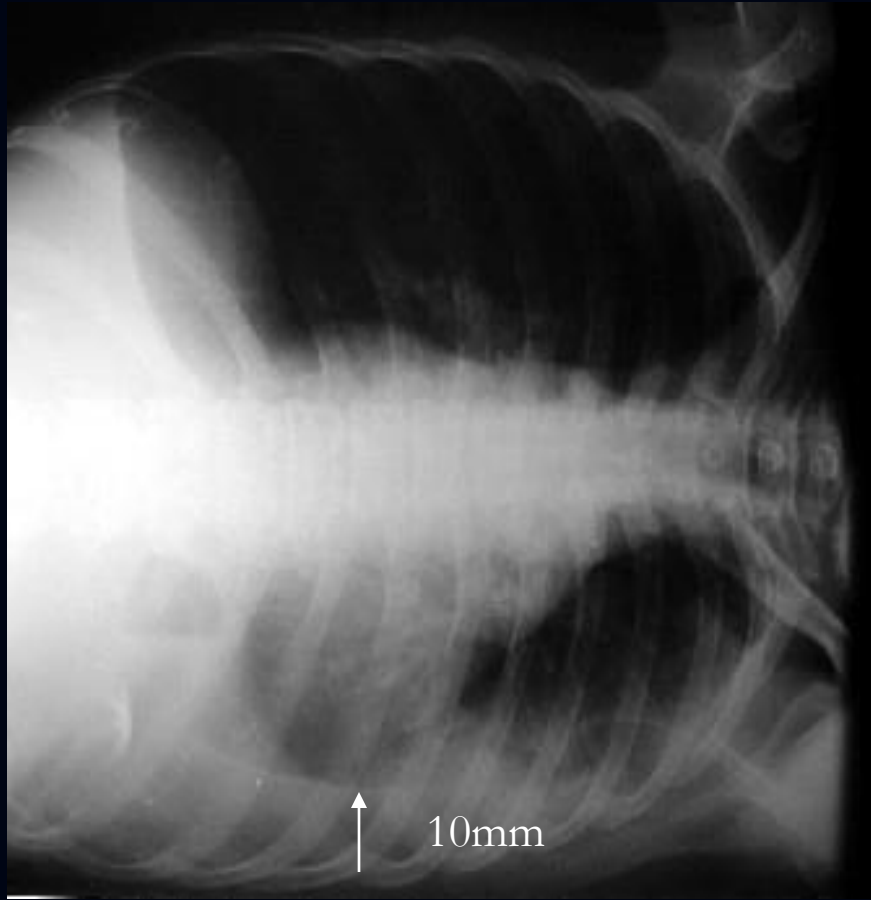
# Case

What is the next best study?

1. Chest CT
2. USS eval and Thoracocentesis
3. Bronchoscopy
4. Lateral decub CXR

## Ultrasound-Guided Thoracentesis



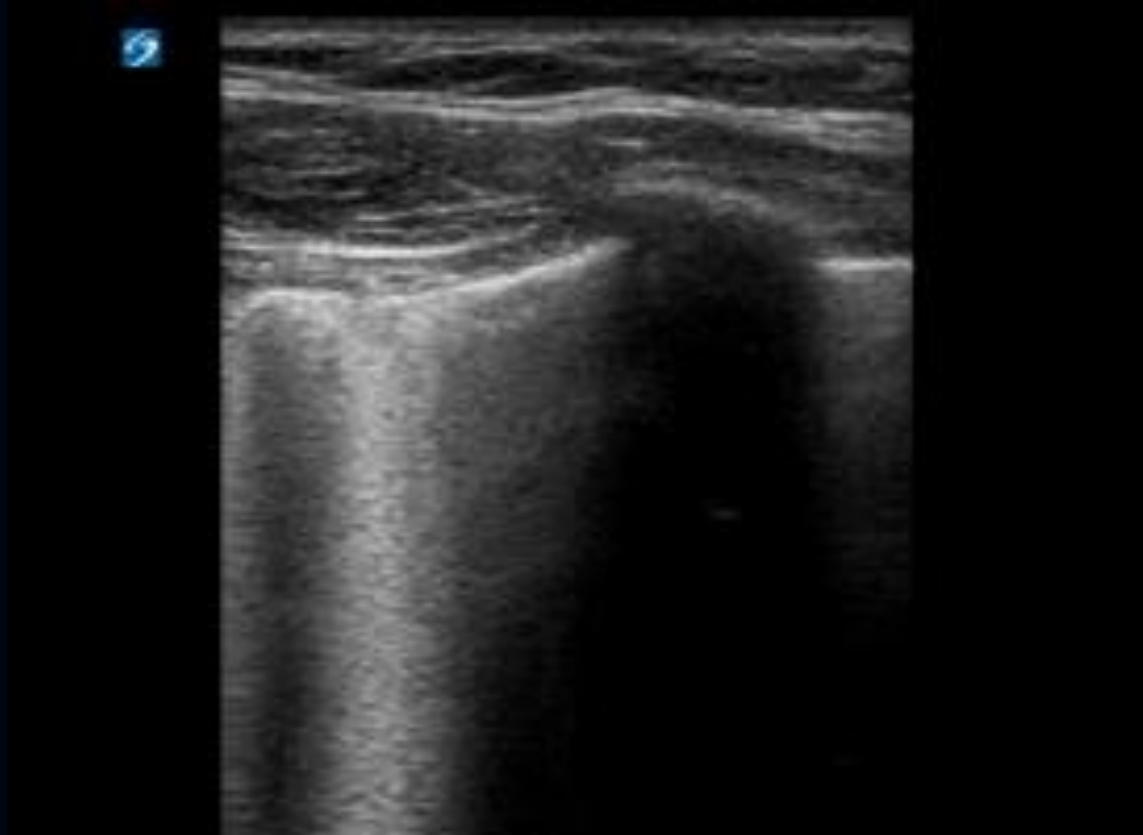


- Lat decub useful to distinguish  
mass vs atelectasis – vs –  
effusion
- If > 10mm layering height of  
effusion – safe to tap
- Perform Bilat Decub if you  
suspect malignancy under  
effusion

You have just completed a US guided Thoracocentesis.  
You removed 1100cc of Cloudy Yellow fluid,  
There was no aspiration of free air. The patient did cough  
during the procedure. Post procedure  
US showed presence of lung sliding. Your follow up  
should include?

- A. CXR (insp hold)
- B. No Imaging Needed
- C. CT Chest
- D. CXR (exp hold)

Lung Sliding = 100% NPV for  
PTX



# Risks for PTX (2-5%)

**Table 3—Procedure-Related Factors Potentially Contributing to Pneumothorax Following Thoracentesis**

Predictor Variables	No. of Procedures n = 255	No. of Pneumothoraces (%) n = 14
Physician		
Fellow	194	10 (5.1)
Attending	61	4 (6.6)
Needle type		
Needle/angiocatheter	100	5 (5.0)
Boutin	84	4 (4.8)
Cope	71	5 (7.0)
Fluid removed		
No fluid (dry tap)	15	1 (6.7)
Fluid removed	240	13 (5.4)
Amount of fluid removed, mL		
<60	59	3 (5.0)
60 to 350	60	2 (3.3)
350 to 1,000	60	5 (8.3)
>1,000	61	3 (4.9)
First or subsequent thoracentesis		
Initial	215	9 (4.2)
Subsequent ( $\geq 2$ )	40	5 (12.5)*
Type of procedure		
Diagnostic	150	7 (4.7)
Therapeutic	28	2 (7.1)
Both diagnostic and therapeutic	77	5 (6.5)

\*Statistically significant difference at  $p < 0.05$ .

■ > 2 attempts

■ Larger Needle size (20g)?

■ Larger Volume ?

**Evaluation of Patient-Related and Procedure-Related Factors Contributing to Pneumothorax Following Thoracentesis\***

*Henri G. Colt, MD, FCCP; Nancy Brewer, RVT; and Edward Barbur, MPH*

# Objectives

- Pleural Anatomy and Pathophysiology
- Exudative vs Transudative
  - Lights
  - Heffner
- Causes of Exudative vs Transudative
- ***Thoracocentesis and Fluid Analysis***
- Parapneumonic Effusions
- Special Cases





# USS guided Thoracocentesis

- US guided Thora is standard of care
- Higher success rate, even after dry tap
- 58% of exam guided dry taps – b/c below the diaphragm
- should be done in real time (or at least at time of marking)

# US Guided Thoracocentesis

- Pt seated upright
- 8<sup>th</sup> ICS, Medial to Post Axillary Line

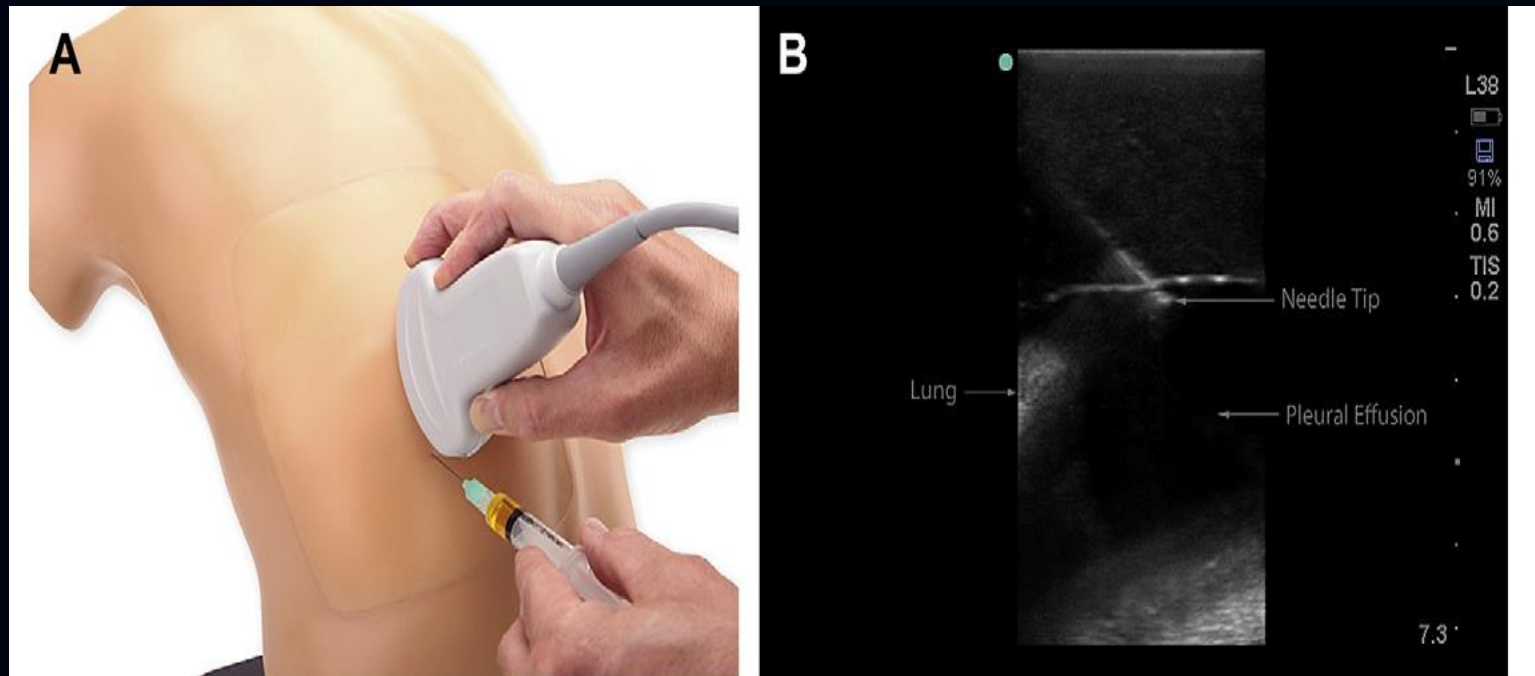


Fig. 4. In-plane dynamic thoracentesis on a phantom: A shows a dynamic approach with the effusion visualized

# Thoracocentesis

- Pleural Pressure = -5
- Vacutainer Pressure = -1000
  - high neg pressure will kink cath
  - ? PTX
- Re-expansion Pulm Edema
  - when Pleural Pressure -20
- **Large volume thoracentesis and the risk of reexpansion pulmonary edema**  
CHEST, Oct, 2005 by David M. Berkowitz  
Retrospective eval of 602 cases  
245 cases > 1L removed = 1 case RPE



# Thoracocentesis

## Use Your Eyes

■ Clear/yellow	Normal	Routine
■ Bloody	Infect, Malig, PE, Hemothorax	HCT
■ Cloudy	Infect, Malig, Chylothorax	TG, Chol
■ Milky	Chylothorax, Pseudochyolous	TG,Chol
■ Pus	Empyema	Cult

# Fluid Studies

## Pleural Fluid

Cell Count/Diff/Cult

TP, Alb

LDH

Gluc

Ph

Cholesterol

## Serum

TP, Alb

LDH

## Non-Routine Studies

BNP

HCT

TG

Lipase, Amylase, Creat

HCT

# Pleural Fluid Studies

**WBC count means very little, nonspecific**

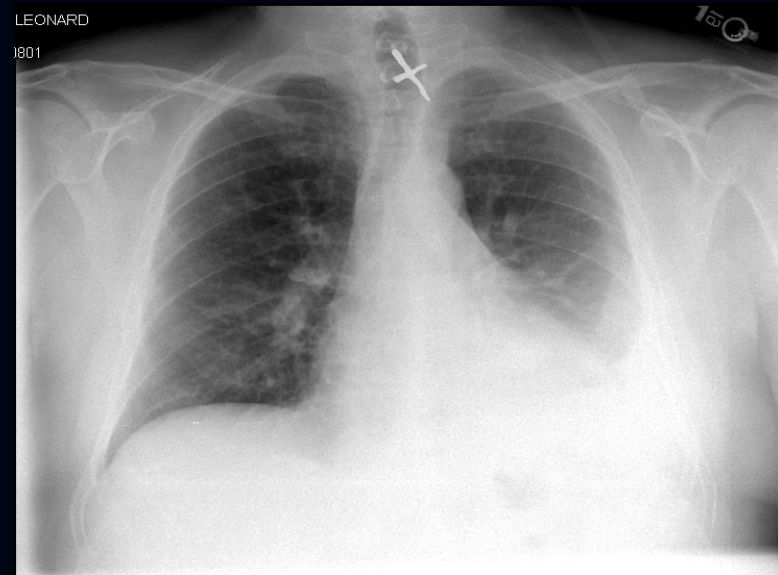
**Differential Changes everything**

- > 10% **Eos** ----- Eosinophilic Effusion  
*PTX, PE, Asbestos, Malig, Drugs, Parasitic*
- > 50% **Lymph** -- Lymphocyte predominant  
*Tb, Malig, Post Pericardiotomy (CABG)*
- > 5% **Mesothelial** cells, virtually rules out Tb

# Case

## Pleural Studies

- Drained 1100cc
- Cloudy
- LDH 1560 / (serum 170)
- TP 5.6 / (serum 4.7)
- Ph 6.9
- WBC 3330 (90% segs)
- GS: Gram + cocci



What's the diagnosis?

# Objectives

- Pleural Anatomy and Pathophysiology
- Exudative vs Transudative
  - Lights
  - Heffner
- Causes of Exudative vs Transudative
- Thoracocentesis and Fluid Analysis
- *Parapneumonic Effusions*
- Special Cases



# Parapneumonic Effusion

- Def: any effusion that is associated with bacterial **PNA, lung abscess, bronchiectasis**
- Most common cause of an exudative effusion
- **40-55%** of bacterial pneumonia cases develop parapneumonic effusions

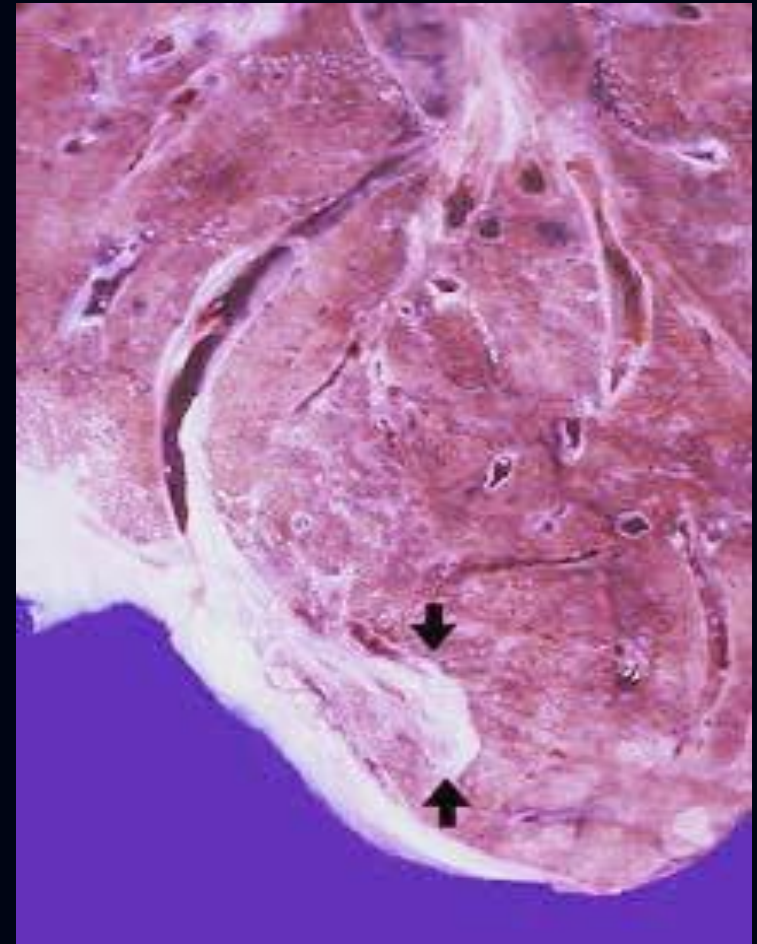
# Parapneumonic Effusion

- 1. Uncomplicated ....exudate, sterile
- 2. Complicated.....exudate, infected
- 3. Empyema.....frank pus

# STAGES

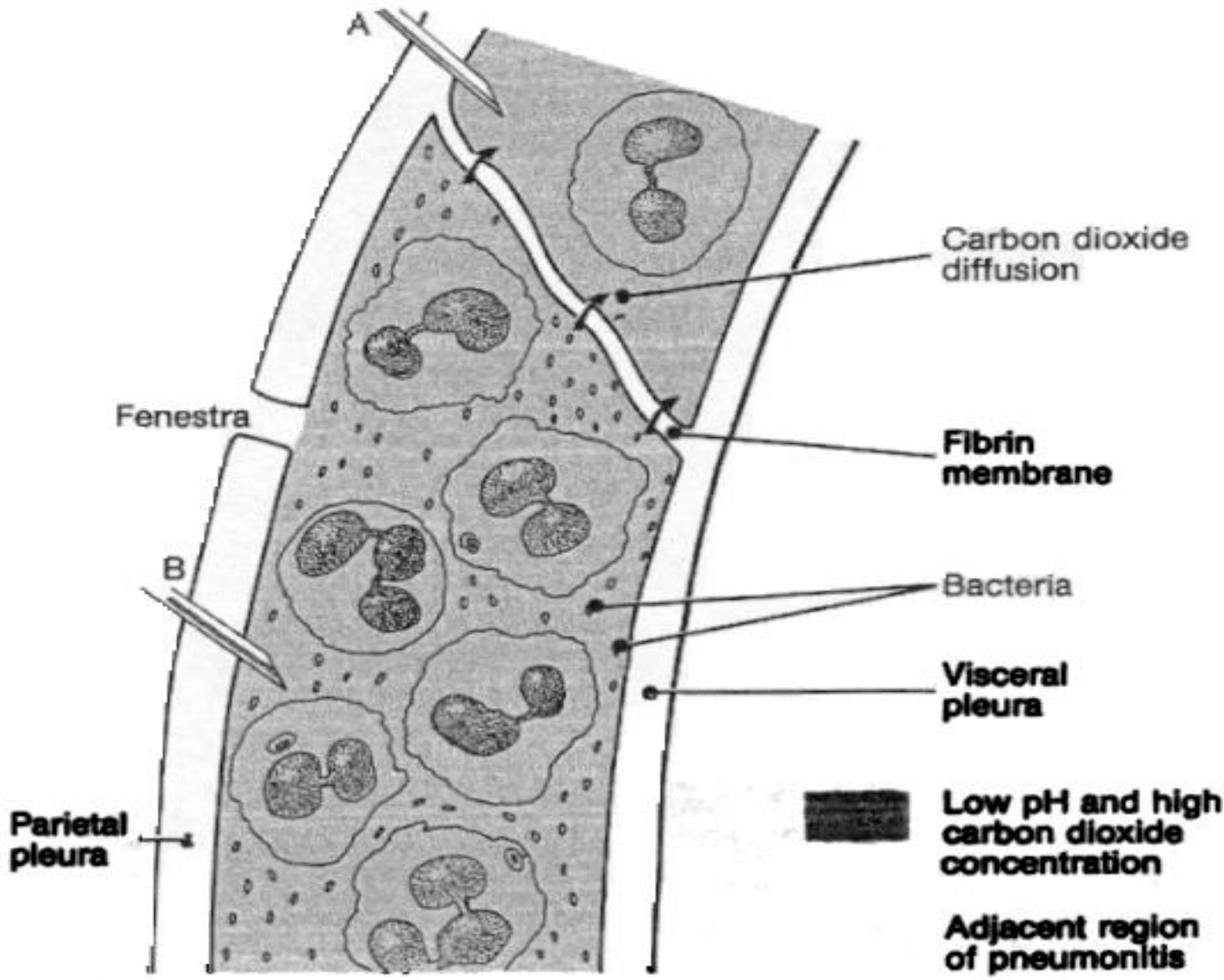
1. Exudative (Acute =  $< 72\text{hrs}$ )
2. Fibropurulent (Transitional = 3-10d)
3. Organization (Chronic  $> 10\text{d}$ )

# Fibropurulent → Organization



# Question

- Which of the following is the best indicator of a complicated parapneumonic effusion?
  - A. LDH
  - B. Glucose
  - C. pH
  - D. WBC



*Heffner et al; Pleural fluid chemical analysis in parapneumonic effusions. A meta-analysis Am J Respir Crit Care Med 1995 152(2):823*

Review of 7 studies showed **ph is most accurate** in discerning b/w uncomplicated and complicated PPE

# Treatment

- Abx and Drainage are Crucial
- Drainage Needs to be Prompt
- Consult Pulm Early !!
- Chest Tube + TPA + Dnase  
Can Improve Imaging, reduce hosp days and Surg referrals..... *N Engl J Med 2011;365:518-26*



# Chest Tube Fibrinolytics

- Ask IR to place Chest tube with 3 way stopcock



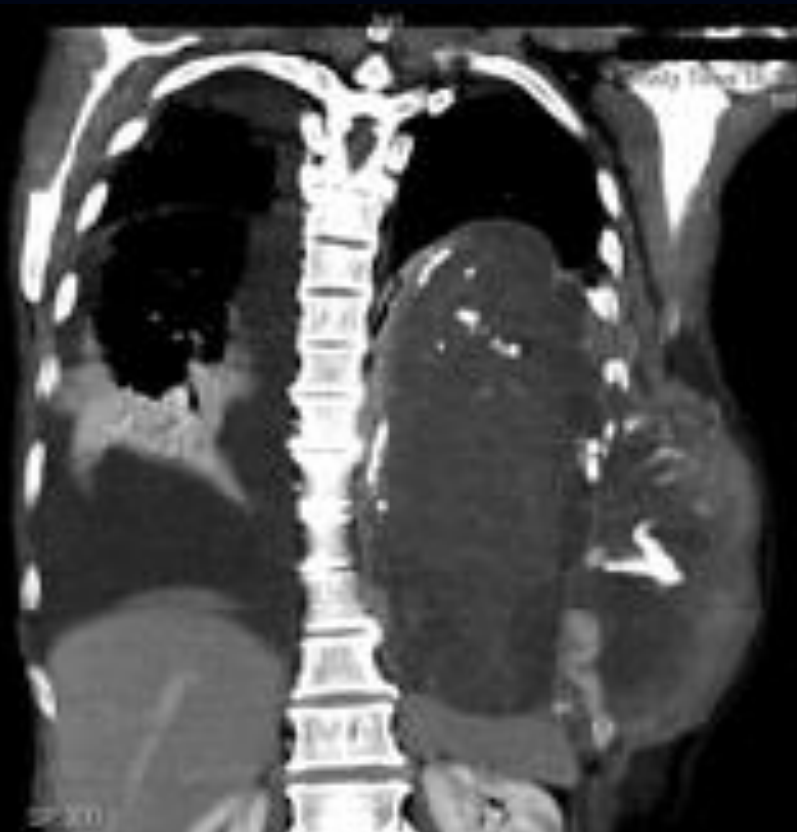
- Ask pharmacy to make up mixture of ***TPA 10mg and Dornase 5mg and 20cc of saline in syringe to instill***



- Instill into chest tube and clamp of chest tube x 1 hour then open to suction

Record output from tube





**Empyema Neecessitans**



# Objectives

- Pleural Anatomy and Pathophysiology
- Exudative vs Transudative
  - Lights
  - Heffner
- Causes of Exudative vs Transudative
- Thoracocentesis and Fluid Analysis
- Parapneumonic Effusions
- ***Special Cases***

# Case

- 45 y/o M with ESLD presents with progressive abd distension and SOB x 2wks.
- CXR is shown.





# Case

All of the following are true except

- A. Hepatic Hydrothorax is likely
- B. Isolated L effusion excludes hepatic hydrothorax
- C. Cause of pleural effusion with pleural TP  $<1$
- D. Chest Tube drainage is contraindicated
- E. Even Chinese people find Dr Wong hard to understand

# Hepatic Hydrothorax

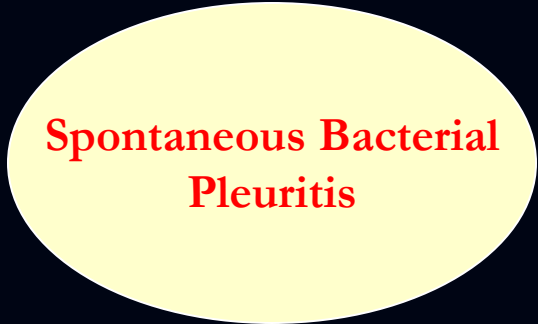
- Incid: 6%
- Usually have *Ascites*
- 70% R side  
15% L side  
15% Bilat
- Transudative with low protein (often  $<1$ )
- MAA scan of Peritoneal and Pleural Cavity

# Hepatic Hydrothorax

- If Pleural fluid

Segs  $>$  500 + neg cult

Segs  $>$  250 + pos culture



**Spontaneous Bacterial  
Pleuritis**

- Do not need Peritonitis to have SBPL/SBE

(40% cases have no SBP)

(25% cases with no clinical ascites)

# Hepatic Hydrothorax

- Diuresis
- Intermittent Thoracocentesis = Okay early on
- ***Chest Tubes = Avoid = leads to vol, prot, Ig loss***
- Pleurodesis = rarely effective
- Indwelling Catheters = Palliative

# Special Cases

## ■ Malignant Effusions

- Can be Transudative (15%)
- Can be Exudative
- Highest yield on Pleural Fluid Cyto if Metastatic  
Adeno CA

## ■ Pleural Biopsy indicated for Effusion of unknown Etiology

# Take Home Points

- Most common Transudative effusion is CHF
- Most common Exudative effusion is PNA
- 3 Lights Criteria is 99% specific for Transudative effusion
- Heffner Criteria ( $\text{LDH} + \text{Pleural Chol} > 45$ ) is 99% specific for exudative effusion
- 3 Most common causes of Exudative and Trans Effusion
- Be careful using Vacutainers for smaller effusions, Avoid  $> 2$  attempts

# Take Home Points

- USS guidance for Thoracocentesis.
- Post thora = If Lung Sliding present post thora = 100% NPV for no PTX
- Re-expansion Pulm Edema is not that common, control **RATE** rather than volume
- Parapneumonic effusions = if it layers ----Tap it...CALL US
- Hepatic Hydrothorax, Leave them alone, Tap if symptomatic....don't place chest tube !!
- Pleural biopsy indicated for effusion of unknown etiology

**Dr Richard  
Light**

**Dr David  
Baratz**

**Dr  
Mathew**

**You all**



